

FORM 1 - SBE/DVBE SUBCONTRACTORS & SUPPLIERS (DESIGN-BID-BUILD) **TO BE COMPLETED BY CONTRACTOR ONLY**

The Prime (Offeror) is required to list ALL SBE/DVBE businesses, of any tier, to be utilized on this project for whom Prime is seeking SBE/DVBE Credit. For a bid to be responsive, the Prime (Offeror) must complete this form and submit the completed form with its bid. The form should be complete in accordance with the instructions on this form and in the IFB, using care so that the information provided in this form is consistent with the information provided in Forms 2 to 5 and the SBE/DVBE commitment in the SBE/DVBE Affidavit. If there is any discrepancy or conflict between the information listed on any of Forms 2 to 5 and the information in this Form 1, LACMTA may issue requests for clarification seeking information or clarification from the Prime (Offeror) in accordance with this IFB. In all events, Prime shall be required to meet the SBE/DVBE commitment listed in the SBE/DVBE Affidavit, which will be enforced by LACMTA under the Contract. Each SBE/DVBE business listed on Form 1 shall complete Attachment A to this Form.

IFB Number: _____ Total Prime Bid Price: _____
 Project Name: _____ Authorized Signature / Date: _____
 Bid Due Date: _____ Printed Name and Title: _____

A ¹		B	C	D	E					F	G	H ⁵	I	J
NAME OF BUSINESS		SBE (Y/N)	DVBE (Y/N)	LSBE (Y/N)	Manufacturer = (M) Supplier = (S) Distributor = (D) Broker = (B) Not Applicable = (NA) (Circle One)					Tier (First/ Second/ Third, etc.)	% work intended to be self- performed	If not first-tier, name of business with whom listed business is contracted	Dollar value of listed business' contract	SBE/DVBE Credit \$ ⁶ (if supplier, take 60% of price; if distributor, take 40% of price; if broker, fees and commission only)
Your Firm					M	S	D	B	NA					
SBE/DVBE Subcontractors	1.				M	S	D	B	NA					
	2.				M	S	D	B	NA					
	3.				M	S	D	B	NA					
	4.				M	S	D	B	NA					
Total:														

¹ For any SBE/DVBE firm listed on this Project, the Contractor is subject to the Termination/Substitution requirements in the Contract.
² The Contractor may be required to list businesses not listed on the Subcontractor Listing Form (e.g., a low dollar value non-DBE subcontractor who contracts with an SBE/DVBE business).
³ If an SBE/DVBE business is a supplier providing materials/supplies, only sixty percent (60%) of the cost will be credited toward the SBE/DVBE goal. LACMTA will take 60% of the cost for materials/supplies listed in Column I to credit for SBE/DVBE participation. If an SBE/DVBE supplier, list 60% of the Total Contract Price breakdown in Column J.
⁴ If an SBE/DVBE business is Distributor, only forty percent (40%) of the cost will be credited toward the SBE/DVBE goal. LACMTA will take 40% of the cost for materials/supplies listed in Column I to credit for SBE/DVBE participation. If an SBE/DVBE distributor, list 40% of the Total Contract Price breakdown in Column J.
⁵ If an SBE/DVBE business is providing brokering services, only the broker/commission (mark-up) fees will be credited toward the SBE/DVBE goal. If a broker, only list broker/commission (mark-up) fees in Column J. Appropriate credit will be reviewed by LACMTA.
⁶ The Contractor is reminded that work that an SBE/DVBE subcontracts to a non-SBE/DVBE firm does not count toward the SBE/DVBE goal. See Section 300 (Commercial Useful Function and Counting SBE/DVBE Participation) of the SBE/DVBE Instructions to Proposers (Federal). All lower-tier Subcontractors for each SBE/DVBE firm listed on this form must be identified on Attachment A.

ATTACHMENT A TO FORM 1 – CONSTRUCTION: SUPPORTING INFORMATION FROM SBE/DVBE SUBCONTRACTOR

INSTRUCTIONS FOR CONTRACTOR:

The purpose of this Attachment A is to validate the SBE/DVBE credit on Form 1 – Construction and the SBE/DVBE commitment in the SBE/DVBE Affidavit.

1. Send this Attachment A to each SBE/DVBE business listed on Form 1 and ask each such SBE/DVBE business to complete Attachment A and return to the Prime.
2. Obtain all Attachment A forms and verify that the information provided in the Attachments is consistent with the aggregated numbers in Form 1 and the SBE/DVBE commitment listed in the SBE/DVBE Affidavit. Verify that the information provided in the Attachment A forms is consistent with the information provided in Forms 2 to 6.
3. The Attachment A forms obtained from the SBE/DVBE businesses listed on Form 1 may include businesses not listed on the Subcontractor Listing Form (e.g., a low dollar value non-SBE/DVBE subcontractor who contracts with the SBE/DVBE business).
4. Attach all Attachment A forms received to Form 1 as Attachment A. For a bid to be responsive, you must submit with your bid a completed Attachment A for each SBE/DVBE business listed on Form 1.
5. If there is any discrepancy or conflict between the information listed on any of Forms 1 to 6 and the information in the Attachments, LACMTA may issue requests for clarification seeking information or clarification from the Prime (Offeror) and the SBE/DVBE in accordance with this IFB. In all events, Prime shall be required to meet the SBE/DVBE commitment listed in the SBE/DVBE Affidavit, which will be enforced by LACMTA under the Contract.

INSTRUCTIONS FOR EACH SBE/DVBE SUBCONTRACTOR LISTED ON FORM 1 THAT IS SUBCONTRACTING WORK:

1. Complete this Attachment A to Form 1 – Construction to the best of your knowledge and information.
2. Complete the row titled "Your Firm" with name in column A and complete the information in columns B to F and H to J for your contract.
3. List each lower-tier Subcontractor (SBE/DVBE or non-SBE/DVBE) that you will be sub-contracting Work to in column A and complete the information in columns B through I for each of the Subcontractors listed in column A.

ATTACHMENT A TO FORM 1: SUPPORTING INFORMATION FROM SBE/DVBE SUBCONTRACTOR (DESIGN-BID-BUILD)

Business Name: _____ Project Name: _____
 Subcontractor Bid Price _____ Your Prime Name: _____
 Printed Name and Title: _____ Authorized Signature¹/Date: _____

A		B			C	D	E	F	G
NAME OF BUSINESS		SBE (Y/N)	DVBE (Y/N)	LSBE (Y/N)	Manufacturer = (M) Supplier ² = (S) Distributor ³ = (D) Broker ⁴ = (B) Not Applicable = (NA) (Circle One)	Directly contracting through your firm or at a lower tier? (Direct/lower)	If not first-tier, name of business with whom listed business is contracted'	Dollar value of listed business contract	SBE/DVBE Credit \$ ⁵ (if supplier, take 60% of price; if broker, fees and commission only)
Your Firm	[name for SBE/DVBE from Form 1]				M S D B NA				
Lower-Tier Subcontractors	1.				M S D B NA				
	2.				M S D B NA				
	3.				M S D B NA				
	4.				M S D B NA				
	5.				M S D B NA				
	6.				M S D B NA				
Total:									

For any SBE/DVBE firm listed on Form 1 – Construction for this contract, the Contractor is subject to the Termination/Substitution requirements in the Contract Compliance Manual (Non-FED/ALT).

¹ To be signed by the authorized signatory of the applicable SBE/DVBE business listed on Form 1.

² If an SBE/DVBE business is a supplier providing materials/equipment/supplies, only sixty percent (60%) of the cost will be credited toward the SBE/DVBE goal. LACMTA will take 60% of the cost for materials/equipment/supplies listed in Column F to credit for SBE/DVBE participation. If an SBE/DVBE supplier, please list 60% of the Subcontractor Contract Price breakdown in Column G.

³ If an SBE/DVBE business is Distributor, only forty percent (40%) of the cost will be credited toward the SBE/DVBE goal. LACMTA will take 40% of the cost for materials/supplies listed in Column F to credit for SBE/DVBE participation. If an SBE/DVBE distributor, list 40% of the Contract Price breakdown in Column G.

⁴ If an SBE/DVBE business is providing brokering services, only the broker/commission (mark-up) fees will be credited toward the SBE/DVBE goal. If a broker, only list broker/commission (mark-up) fees in Column F. All credit will be reviewed by LACMTA.

FORM 1 – NON-CONSTRUCTION – PROPOSED SUBCONTRACTORS & SUPPLIERS **TO BE COMPLETED BY CONTRACTOR ONLY**

The Prime is requested to list ALL first-tier businesses which include SBE/DVBEs & non-SBE/DVBEs to be utilized on this project

IFB/RFP/RFIQ Number: _____ Project Name: _____
 Total Proposal/Bid Price: _____ Proposal/Bid Due Date: _____
 Printed Name and Title: _____ Authorized Signature/Date: _____

	A	B	C	D	E	F	G	H	I	J
	NAME OF BUSINESS (Subcontractors)	SBE (Y/N)	DVBE (Y/N)	LSBE (Y/N)	Supplier ¹ (Y/N)	Distributor ² (Y/N)	Broker ³ (Y/N)	Proposal/Bid Price Breakdown \$	SBE Credit \$	DVBE Credit \$
Prime										
Subcontractors	1.									
	2.									
	3.									
	4.									
	5.									
	6.									
	7.									
			Total:					(Should match Total Prop/Bid Price on top of pg.)	(Total SBE Commitment)	(Total DVBE Commitment)

¹If an SBE/DVBE business is a supplier providing materials/equipment/supplies, only sixty percent (60%) of the cost will be credited toward the SBE/DVBE goal. LACMTA will take 60% of the cost for materials/equipment/supplies listed in Column G to credit for SBE/DVBE participation. If an SBE/DVBE supplier, list 60% of the Subcontractor Contract Price breakdown in Column H in Columns I or J.
²If an SBE/DVBE business is Distributor, only forty percent (40%) of the cost will be credited toward the SBE/DVBE goal. LACMTA will take 40% of the cost for materials/equipment/supplies listed in Column H to credit for SBE/DVBE participation. If an SBE/DVBE distributor, list 40% of the Subcontractor Contract Price breakdown in Column H in Columns I or J.
³If an SBE/DVBE business is providing brokering services, only the broker/commission (mark-up) fees will be credited toward the SBE/DVBE goal. If a broker, only list broker/commission (mark-up) fees in Column I or J.

ATTACHMENT A TO FORM 1 – NON-CONSTRUCTION: PROPOSED LOWER TIER SUBCONTRACTORS &

INSTRUCTIONS FOR CONTRACTOR:

The purpose of this Attachment A is to validate the SBE/DVBE credit on Form 1 – Non-Construction and the SBE/DVBE commitment in the SBE/DVBE Affidavit.

1. Send this Attachment A to each business listed on Form 1 – Non-construction and ask each such business to complete Attachment A and return to the Bidder/Proposer.
2. Obtain all Attachment A forms and verify that the information provided in the Attachments is consistent with the aggregated numbers in Form 1 – Non-construction and the SBE/DVBE commitment listed in the SBE/DVBE Affidavit. Verify that the information provided in the Attachment A forms is consistent with the information provided in Forms 2 to 6.
3. Attach all Attachment A forms received to Form 1 – Non-Construction as Attachment A. For a Bid/Proposal to be responsive, you must submit with your Bid/Proposal a completed Attachment A for each business listed on Form 1 – Non-Construction.
4. If there is any discrepancy or conflict between the information listed on any of Forms 1 to 6 and the information in the Attachments, LACMTA may issue requests for clarification seeking information or clarification from the Contractor and the business in accordance with this Contractor. In all events, the Contractor shall be required to meet the SBE/DVBE commitment listed in the SBE/DVBE Affidavit, which will be enforced by LACMTA under the Contract.

INSTRUCTIONS FOR EACH SUBCONTRACTOR LISTED ON FORM 1 THAT IS SUBCONTRACTING WORK:

1. Complete this Attachment A to Form 1 – Non-Construction to the best of your knowledge and information.
2. Complete the row titled "Your Firm" with name in column A and complete the information in columns B to H for your contract.
3. List each lower-tier Subcontractor (SBE/DVBE or non-SBE/DVBE) that you will be sub-contracting Work to in column A and complete the information in columns B through H for each of the lower-tier Subcontractors listed in column A.

ATTACHMENT A TO FORM 1 – NON-CONSTRUCTION: PROPOSED LOWER TIER SUBCONTRACTORS & SUPPLIERS

All Subcontractors listed on Form 1 – Non-Construction that are subcontracting work are requested to list **ALL lower-tier businesses**, which include SBE/DVBEs & non-SBE/DVBEs to be utilized for the project.

IFB/RFP/RFIQ Number: _____ Project Name: _____
 Total Proposal/Bid Price: _____ Proposal/Bid Due Date: _____
 Printed Name and Title: _____ Authorized Signature/Date: _____

	A	B	C	D	E	F	G	H	I	J
	NAME OF BUSINESS (Subcontractors)	SBE (Y/N)	DVBE (Y/N)	LSBE (Y/N)	Supplier ¹ (Y/N)	Distributor ² (Y/N)	Broker ³ (Y/N)	Proposal/Bid Price Breakdown \$	SBE Credit \$	DVBE Credit \$
Prime										
Subcontractors	1.									
	2.									
	3.									
	4.									
	5.									
	6.									
	7.									
			Total:					(Should match Total Prop/Bid Price on top of pg.)	(Total SBE Commitment)	(Total DVBE Commitment)

¹If an SBE/DVBE business is a supplier providing materials/equipment/supplies, only sixty percent (60%) of the cost will be credited toward the SBE/DVBE goal. LACMTA will take 60% of the cost for materials/equipment/supplies listed in Column G to credit for SBE/DVBE participation. If an SBE/DVBE supplier, list 60% of the Subcontractor Contract Price breakdown in Column H in Columns I or J.

²If an SBE/DVBE business is Distributor, only forty percent (40%) of the cost will be credited toward the SBE/DVBE goal. LACMTA will take 40% of the cost for materials/equipment/supplies listed in Column H to credit for SBE/DVBE participation. If an SBE/DVBE distributor, list 40% of the Subcontractor Contract Price breakdown in Column H in Columns I or J.

³If an SBE/DVBE business is providing brokering services, only the broker/commission (mark-up) fees will be credited toward the SBE/DVBE goal. If a broker, only list broker/commission (mark-up) fees in Column I or J.

⁴Appropriate credit will be reviewed by LACMTA.